

**AUDIT REPORT**  
**QUALITY MANAGEMENT SYSTEM**  
**ISO 9001:2015**  
**KVQA CERTIFICATION SERVICES**  
**PRIVATE LIMITED**



**SR UNIVERSITY**

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<b>DOCUMENT NAME:</b>	<b>A-2024/07/01</b>
<b>DOCUMENT REFERENCE:</b>	With reference to Assessment conducted for <b>SR UNIVERSITY</b>

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### Attendance Sheet

(  Document  On-site  Surveillance  Amendment  Re-audit  Pre audit )

Audit no.: **A-2024/07/01**

Date: 01/07/2024

Name	Title	Signature		Name	Title	Signature	
		Opening	Closing			Opening	Closing
Dr. P. V. Raja Shekar	Director-IQAC			K.V. HARGOPAL	Lead Auditor		

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## Stage 2 Audit schedule for ISO 9001:2015

<b>Organization</b>	SR UNIVERSITY		<b>Audit no.</b>	A-2024/07/01	<b>Revision</b>	0
<b>Address</b>	ANANTHASAGAR (V), HASANPARTHY (M), WARANGAL 506 371, T.G, INDIA.					
<b>Standard</b>	ISO 9001:2015 QMS					
<b>Secondary or Temporary Site</b>	NA					
<b>Scope</b>	Providing Educational Services leading to Award of Bachelor of Technology (CSE   ECE   EEE   ME   CE), Bachelor of Business Administration, Bachelor of Computer Applications, B.Sc (Hons.) Agriculture, Master of Technology (CTM   PE   AMS   ES   EDT   VLSI   CSE), Master of Business Administration and Master of Computer Applications.					
Date: 01/07/2024	Time	Auditing Elements(departments) Per Each Auditor			Standard ISO 9001:2015	
		LEAD AUDITOR (K.V. HARGOPAL)				
	10:00 To 11:30	Opening Meeting & discussion of internal audit/MRM/ communication / Legal and compliance/training				
	11:00 To 12:00	Entrance and examination				
	12:00 TO 13:00	Library and lab				
	13:00 TO 14:00	Lunch				
	14:00 To 15:00	Operational control				
	15:00 To 16:00	Store and purchase				
	16:00 to 17:00	maintenance /QA				
	17:00 To 17:30	Top management				
17:30 To 18:00	Closing meeting					

Date: 29/06/2024

Lead Auditor: K.V. HARGOPAL (Signature)

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- a.* **Audit Objective:** - The Audit Shall be carried on the basis of the requirement of the Standard, Standard, at the time when the production / operation are fully operational Evaluation of the ability of the Organization to meet applicable Statutory, Regulatory, Contractual requirements, meeting Objectives and Identification of potential improvement of Management System. The above to be reported under the respective clauses in the Audit summary.
- b.* Stage 2 focus on implementation, including effectiveness, of the client's management system. The stage 2 shall take place at the site(s) of the client. It shall include the auditing of at least the following:
- c.* a) information and evidence about conformity to all requirements of the applicable management
- d.* system standard or other normative documents;
- e.* b) performance monitoring, measuring, reporting and reviewing against key performance objectives
- f.* and targets (consistent with the expectations in the applicable management system standard or
- g.* other normative document);
- h.* c) the client's management system ability and its performance regarding meeting of applicable
- i.* statutory, regulatory and contractual requirements;
- j.* d) operational control of the client's processes;
- k.* e) internal auditing and management review;
- l.* f) management responsibility for the client's policies
- .

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### Audit summary

Organization	<b>SR UNIVERSITY</b>	Date	<b>01/07/2024</b>	Audit No.	<b>A-2024/07/01</b>
CAR issue	<input checked="" type="checkbox"/> Minor: 1 issue, Major 0 issue (Onsite confirm required: ) <input checked="" type="checkbox"/> Document confirm:				
Document	Manual No. : 01 Rev. No. : 00				
Evaluation	Does organization's system comply with certification audit criteria?				<input checked="" type="checkbox"/> Yes, <input type="checkbox"/> No
	Was there any deviation from audit plan? If Yes Please Specify.				<input type="checkbox"/> Yes, <input checked="" type="checkbox"/> No
	Are proper corrective & preventive actions taken according to the results of Internal audit?				<input checked="" type="checkbox"/> Yes, <input type="checkbox"/> No
	Was there any issue impacting the audit program? If Yes please specify				<input type="checkbox"/> Yes, <input checked="" type="checkbox"/> No
	Is there any significant changes that can affect management system since last audit & any difference between data submitted by organization and assessed in on-site audit?				<input type="checkbox"/> Yes, <input checked="" type="checkbox"/> No
	Is it assured that organization maintain and develop its system continuously?				<input checked="" type="checkbox"/> Yes, <input type="checkbox"/> No
	(Additional review points in reassessment)				
	Does all elements of system effectively interact with one another?				<input checked="" type="checkbox"/> Yes, <input type="checkbox"/> No
	Is there any unresolved issue identified? If Yes Please specify.				<input type="checkbox"/> Yes, <input checked="" type="checkbox"/> No
	Is it assured that organization has commitment for maintaining its system effectively?				<input checked="" type="checkbox"/> Yes, <input type="checkbox"/> No
(Additional review point in surveillance)					
Is the certification mark properly used?				<input checked="" type="checkbox"/> Yes, <input type="checkbox"/> No	
<p>Overall evaluation of audit review</p> <p>The audit conducted for the provision of educational services, including Bachelor of Technology (CSE, ECE, EEE, ME, CE), Bachelor of Business Administration, Bachelor of Computer Applications, B.Sc (Hons.) Agriculture, Master of Technology (CTM, PE, AMS, ES, EDT, VLSI, CSE), Master of Business Administration, and Master of Computer Applications, found that the management's commitment to the quality policy was effectively displayed across all departments, with broad awareness among staff. The focus on customer feedback, in this case referring to student and stakeholder satisfaction, was clearly evident.</p> <p>The institution's internal audit plan was also in place, though the training records for internal auditors were found to be outdated, indicating a gap in keeping staff qualifications current. Despite this, the audit confirmed that key processes are well aligned with the requirements for compatibility and reliability, particularly concerning academic content, student services, and administrative functions. Legal and regulatory compliance, as reflected in the well-maintained legal register, was also found satisfactory.</p> <p>The details of the observations are annexed in the observation report. One minor Corrective Action Request (CAR) was issued. Auditors are confident that upon the closure of this CAR and its implementation, the institution will maintain a robust quality management system. Compliance with the CAR and observations will be verified in the next audit. Therefore, the institution is recommended for the continuation of its certification until the next surveillance audit.</p>					

Audit Result	<input checked="" type="checkbox"/> Recommend certification for initial audit; maintain its certification for surveillance. As your system is proper and effectively practiced, certification is recommended. <input type="checkbox"/> After document audit as follow-up, it will be resolved Your system is practiced without any serious major non-conformity as shown from CAR issue. You are required to submit the result of corrective action taken, which includes corrective action, analysis of the reason, and preventive action to KVQA. Within 1 month. When the result is satisfactory, certification will be recommended (certification will be maintained for surveillance). The observations shall be verified in the Surveillance audit <input type="checkbox"/> After on-site visit as follow-up, this will be resolved  More than 10 Minor non-conformity is found in your system as shown from above CAR issues. You are required to submit the result of corrective action taken, which includes corrective action, analysis of the reason, and preventive action to KVQA CERTIFICATION SERVICES PRIVATE LIMITED. Within 1 month. Additional on-site visit as follow-up will be conducted and when it is satisfactory, certification will be recommended (maintained for surveillance). <input type="checkbox"/> Not to satisfy with standard Major non-conformities are found in your system as shown from above CAR issues. Re-audit is required.	
Audit fee	Remitted Or not?	<input type="checkbox"/> Yes <input type="checkbox"/> No (When audit fee is paid, certification will not be issued/maintained)

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## Corrective Action Request (CAR)

Issue no. : 01 /01

<b>Organization</b>	SR UNIVERSITY	<b>Auditno.</b>	A-2024/07/01	<b>Issue date</b>	01/07/2024
<b>Applicable Standards</b>	<input checked="" type="checkbox"/> ISO 9001:2015	<b>Applicable Clause</b>		8.1	
		<b>Division</b>		OPC	
		<b>Auditor</b>		K.V. HARGOPAL (signature)	
<b>Audit type</b>	<input checked="" type="checkbox"/> Initial (Reassessment)	<b>Non-conformity Grade</b>		<input checked="" type="checkbox"/> Minor nonconformity <input type="checkbox"/> Major nonconformity	
<b>Nonconformity</b> ( <input type="checkbox"/> Confirm with on-site visit , <input type="checkbox"/> Confirm with document ) The library's book inventory records do not match the actual count of books in inventory record no. FT/75Y.					
<b>Lead auditor:</b> K.V. HARGOPAL (sign)			<b>Management Representative:</b> (sign)		
<b>Analysis (Basic reason for occurring nonconformity)</b> Inefficient inventory management system					
<b>Corrective action</b> ( <input type="checkbox"/> Plan <input type="checkbox"/> Result (Attachment <input type="checkbox"/> Yes <input type="checkbox"/> No ) We will implement an integrated library management system (LMS) and conduct monthly inventory audits to reconcile discrepancies between physical stock and records.					
<b>Management Representative:</b> (sign)				<b>Date:</b>	
<b>Follow-up audit</b>	( <input type="checkbox"/> document confirm <input checked="" type="checkbox"/> on-site confirm )		<b>Validation</b>		
<b>Auditor:</b>	K.V. HARGOPAL (sign)		<b>Auditor:</b>	(sign)	
<b>Date:</b>	01/07/2024		<b>Date:</b>		
1. The result of corrective action taken shall be submitted to KVQA CERTIFICATION SERVICES PRIVATE LIMITED Within 1 month after CAR issued. 2. The result of corrective action taken shall be verified by on-site audit (major nonconformity) or document review (minor nonconformity), if it is not made within 3 months re-audit will be required.					

## OBSERVATION TABLE

Organization:	SR UNIVERSITY	Audit No.	A-2024/07/01	Page: 1/1
Department	Contents	ISO Element	Grade of NC	
	Points for Improvements			
	Inefficient communication channels between faculty and students feedback.		Observation	
	Conduct a needs assessment for lab equipment.		Observation	
	Lack of understanding and training on the institution's Quality Management System (QMS).		Observation	
	Conduct regular audits of attendance records to ensure accuracy.		Observation	
	There is no plan for ongoing training for librarians on research methods		Observation	

Lead Auditor: K.V. HARGOPAL (signature)

Audit date: 01/07/2024

KVQA CERTIFICATION SERVICES PVT. LTD.



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**KVQA**

# *Certificate of Registration*

(Quality Management System)

**KVQA CERTIFICATION SERVICES PVT. LTD.**

This is to certify that the Quality Management System of



**SR  
UNIVERSITY**

**ANANTHASAGAR (V), HASANPARTHY (M),  
WARANGAL - 506 371, T.G, INDIA.**

has been found in accordance with Quality Management System Standard

**ISO 9001:2015**

**This Certificate is valid for the following scope**

**Providing Educational Services leading to Award of Bachelor of Technology (CSE | ECE | EEE | ME | CE), Bachelor of Business Administration, B.Sc (Hons.) Agriculture, Master of Technology (CTM | PE | AMS | ES | EDT | CSE) and Master of Business Administration.**

1<sup>st</sup> Surveillance Done On: 07/06/2022  
2<sup>nd</sup> Surveillance Due On: 16/06/2023

**Certificate No.: KDCQ202107085**

Date of Issue: 05, July, 2021  
Valid Until: 04, June, 2024\*



Issued by   
Authorised Signatory KVQA

To Check the Status of the Certification, kindly log on to [www.kvqa.in](http://www.kvqa.in)  
F-300, Sector -63, Noida U.P., India. Ph 011-22711940, 22711941.  
email:[delhi@kvqa.com](mailto:delhi@kvqa.com)

\*Subject to successful completion of surveillance audits



**KVQA**

# *Certificate of Registration*

(Quality Management System)

**KVQA CERTIFICATION SERVICES PVT. LTD.**

This is to certify that the Quality Management System of



**SR  
UNIVERSITY**

**ANANTHASAGAR (V), HASANPARTHY (M),  
WARANGAL – 506 371, T.G, INDIA.**

has been found to comply with the requirements of

**ISO 9001:2015**

**This Certificate is valid for the following Product or service range**

**Providing Educational Services leading to Award of Bachelor of Technology (CSE | ECE | EEE | ME | CE), Bachelor of Business Administration, Bachelor of Computer Applications, B.Sc (Hons.) Agriculture, Master of Technology (CTM | PE | AMS | ES | EDT | VLSI | CSE), Master of Business Administration and Master of Computer Applications.**


1<sup>st</sup> Surveillance Due On: 05/06/2025: Done On:  
2<sup>nd</sup> Surveillance Due On: 05/06/2026: Done On:

**Certificate No.: KDACQ202407138**

Date of Issue: 05, July, 2024  
Valid Until: 04, July, 2027\*



**CB-QMS-045**

Issued by --   
Authorised Signatory KVQA

To Check the Status of the Certification, kindly log on to [www.kvqa.in](http://www.kvqa.in)  
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email: [delhi@kvqaindia.com](mailto:delhi@kvqaindia.com)

\*Subject to successful completion of surveillance audits



## KVQA CERTIFICATION SERVICES PVT. LTD.

I-25, Third Floor, Southern Avenue, Maharani Bagh, New Delhi-110065

Phone :011-22711940, 22711941 E-mail : delhi@kvqaindia.com Web Site : www.kvqa.in

Ref: KDCQ202107085

Date: 07.06.2022

To,  
SR UNIVERSITY

ANANTHASAGAR (V), HASANPARTHY (M),  
WARANGAL - 506 371, T.G, INDIA.

Kind Attn. : Top Management

Subject : Recommendation for continuation of QMS 9001:2015 certificate.

Dear Sir,

Thank you for your response during the 1<sup>st</sup> Surveillance Audit. The auditor has reviewed and accepted the Quality Management System and other requirements as per ISO 9001:2015 standard requirements. The auditor's recommendation for continuing the certification is therefore confirmed.

I would like to take this opportunity to congratulate you for continuation of your ISO certificate and thank you for working with us.

With Regards

Kvqa Certification Services Pvt Ltd.

KVQA CERTIFICATION SERVICES PVT. LTD.

  
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## KVQA CERTIFICATION SERVICES PVT. LTD.

I-25, Third Floor, Southern Avenue, Maharani Bagh, New Delhi-110065

Phone :011-22711940, 22711941 E-mail : delhi@kvqaindia.com Web Site : www.kvqa.in

Ref: KDCQ202107085

Date: 16.06.2023

To,  
SR UNIVERSITY

ANANTHASAGAR (V), HASANPARTHY (M),  
WARANGAL - 506 371, T.G, INDIA.

Kind Attn. : Top Management

Subject : Recommendation for continuation of QMS 9001:2015 certificate.

Dear Sir,

Thank you for your response during the 2<sup>nd</sup> Surveillance Audit. The auditor has reviewed and accepted the Quality Management System and other requirements as per ISO 9001:2015 standard requirements. The auditor's recommendation for continuing the certification is therefore confirmed.

I would like to take this opportunity to congratulate you for continuation of your ISO certificate and thank you for working with us.

With Regards

Kvqa Certification Services Pvt Ltd.

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